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A Market w/o Licence Regime – Regulatory Experience

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Agenda



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- Market Entry in Austria
 - Network
 - Supply/Trading
- The Role of Licencing
- Data Collection/ Monitoring
- Enforcement

- Network
 - The operation of a gas network needs the approval from the NRA
 - Personal and financial requirements are checked
 - Insurance
 - Electricity network operation is approved by regional authorities

So why isn't this a licence?

→ It is, but it is not related to regulatory objectives and operation!



- No licencing of these activities
 - Security considerations are managed via the balancing group system (bank guarantees, etc.)
 - In some areas pure notification of this activity to the NRA
 - General terms of business of suppliers have to be approved by the NRA for customer protection purposes

The Role of Licencing Internationally



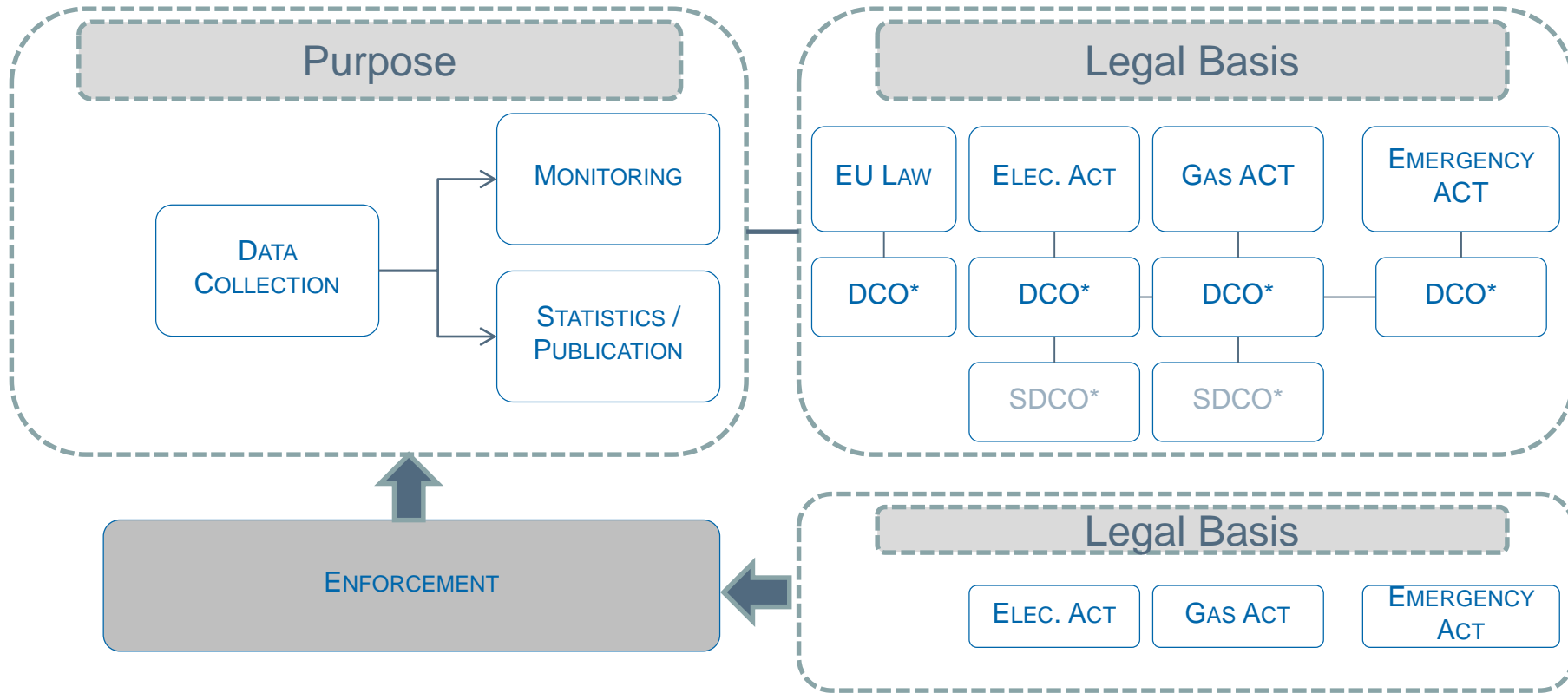
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- Ensure quality of service
 - Minimum standards
- Ensure resilience in the system
 - Insurance
 - Bank guarantee
- Ensure regulatory operation
 - Data collection
 - Monitoring
 - Measures
 - Enforcement

Regulatory Operation



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DCO*...DATA COLLECTION ORDINANCE

SDCO*...DATA COLLECTION ORDINANCE FOR STATISTICAL PURPOSES BY MINISTRY



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